10-12-06 Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c) PRESS MAIL" Mailing Label Number: \_\_\_EV 826337066 US\_ Date of Deposit: \_\_\_10/9/2006\_ Thereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Commissioner For Patents, Alexandria, VA 22313-1450. Anna Massiou Name: ·AWASTON 10/9/2006 Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fernandez, et al

Attorney Docket No. FERN-P004

Serial No.: 09/145,167

Examiner: Wu, Rutao

Filed: 9/1/1998

Art Unit: 3639

For: Adaptive Direct Transaction For Network Client Group

Commissioner for Patents

P.O. Box 1450

Dear Sir:

Alexandria, VA 22313-1450

v. Five (5) month.

Extension Time Fee Total: \_\_\_\_\_.00\_\_.

#### AMENDMENT TRANSMITTAL LETTER

1. <b>TR</b>	ANSMITTED DOCUMENTS: the following	llowing documents relating to	the above-identified patent application ar	e
bei	ng transmitted herewith.			
<u>_X</u> _	a. An Amendment for this application	: <u>9</u> pages.		
	b. Substituted Formal Drawings:	sheets.		
	c. A Petition For Extension of Time F	or Response under 37 CFR 1.1	36(a) incorporated herein.	
	d. An Information Disclosure Stateme	ent under 37 CFR 1.97(b)	<u>X</u> 1.97(c)	
	e. A stamped, self-addressed, return p			
	f. A Check (#) for \$ to		respondence.	
2. <b>AP</b>	PLICANT FILING STATUS:			
	a. Applicant is a Large Entity.			
<u>X</u>				
3. <b>EX</b> 7	TENSION OF TIME:			
á	a. Applicant petitions for an extension of	of time under 37 C.F. R. 1.136	for the total number of months checked	
	below (fees pursuant to 37 C.F.R. 1.			
	Extension of Time	Large Entity Fee	Small Entity Fee	
	i. One (1) month.	\$ 120.00	\$ 60.00	
	ii. Two (2) month.	<del></del>	\$ 225.00	
	iii. Three (3) month.	\$1,020.00	\$ 510.00	
	iv. Four (4) month .	\$ 1,590.00	\$ 795.00	

X b. Applicants believe that no extension of time is required. However, this conditional petition is being made in case Applicants have inadvertently overlooked the need for a petition for extension of time, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to Deposit Account No: 500482.

\$ 2,160.00

\$ 1080.00

### 4. FEE CALCULATION:

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total	
a. Total Claims	6	- 20 =	0	x \$ 50.00 Large Entity x \$ 25.00 Small Entity	\$ .00	
b. Independent Claims	2	- 4=	0	x \$200.00 Large Entity x \$100.00 Small Entity	\$ .00	
c. Multiple Dependent Claims Added By This Amendment x 360.00 Large Entity x 180.00 Small Entity						
d. Extension of Time Fee Total, if any, from above EXTENSION OF TIME section 3a.						
e. Additional Fees Required With This Correspondence i) 1.17 (p) Fee for Information Disclosure under 1.97(c)						
e. Total Fees						

5	PΔ	V	<b>JENT</b>	$\mathbf{AO}$	FEES

The	ful	l fe	e due	in	connection	with	this	communi	cation	is	provi	dec	l as i	ol	lows:	

	The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to <b>Deposit Account No: 500482</b> . A <u>duplicate copy</u> of this authorization is enclosed.
	A Check # for \$ for the above specified Total Fee is enclosed. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to <b>Deposit Account No:</b> 500482.
<u>X</u>	Applicant does not believe that any payment of fee is needed in association with this communication. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to <b>Deposit Account No:</b> 500482.

Please direct all correspondence concerning the above-identified application to the following address:

**CUSTOMER NO: 22877** 

FERNANDEZ & ASSOCIATES, LLP
Patent Attorneys
P.O. BOX D
Menlo Park, CA 94025-6204

Phone: (650) 325-4999 Fax: (650) 325-1203

Respectfully submitted,

DENNIS S. FERNANDEZ Registration No. 34,160 10/09/2006 Date



# IN UNITED STATES PATENT AND TRADEMARK OFFICE

ventors: Fernande

Fernandez, et al.

Attorney Docket No.: FERN-P004

Serial No.:

09/145,167

Art Unit:

3639

Filed:

9/1/1998

Examiner:

WU, RUTAO

Title:

Adaptive Direct Transaction For Networked Client Group

# **AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In response to Office Action dated 9/19/2006, please amend this application as follows:

Amendments to Claims begin on page 2.

Remarks begin on page 5.